



ZAGREBAČKA
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Zagreb Stock Exchange

Pursuant to the provision of Article 305 of the Capital Market Act (Official Gazette NN Nos 65/18, 17/20 and 83/21), at its 297th meeting held on 24 September 2021, the Management Board of the Zagreb Stock Exchange adopted the following

AMENDMENTS TO THE EXCHANGE RULES

Article 1

In Article 3(1), point 2 is amended as follows:

'2. algorithmic trading shall be trading in financial instruments as defined in point 2 of Article 3 of the CMA.'

In Article 3(1), point 20 is amended as follows:

'20. management company shall be a management company as defined in point 11 of Article 3 of the CMA.'

In Article 3(1), point 27 is amended as follows:

'27. exchange-traded fund or ETF shall be a fund as defined in point 25 of Article 3 of the CMA.'

In Article 3(1), point 28 is amended as follows:

'28. HANFA shall be the Croatian Financial Services Supervisory Agency whose responsibilities and scope of activities are laid down in the Act on the Croatian Financial Services Supervisory Agency (NN Nos 140/05, 154/11 and 12/12) and the CMA.'

In Article 3(1)(34), the following words are added after the words 'any depositary receipts outstanding,':
'irrespective of whether or not such securities have been admitted to trading on the regulated market;'

In Article 3(1), point 41 is amended as follows:

'41. qualifying holding shall be a holding as defined in point 50 of Article 3 of the CMA.'

In Article 3(1), point 59 is amended as follows:

'59. transferable securities shall be types of securities as defined in point 87 of Article 3 of the CMA.'

In Article 3(1), point 67 is amended as follows:

'67. central depository shall be a central securities depository or CSD as defined in point 106 of Article 3 of the CMA.'

In Article 3(1), point 68 is amended as follows:

'68. central counterparty or CCP shall be a central counterparty as defined in point 105 of Article 3 of the CMA.'

In Article 3(1), point 69 is amended as follows:

'69. structured products shall be transferable securities as defined in point 87(c) of Article 3 of the CMA.'

In Article 3(1), point 84 is amended as follows:

'84. regulated market shall be a regulated market as defined in point 130 of Article 3 of the CMA.'



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In Article 3(1), point 94 is amended as follows:

‘94. CMA – the Capital Market Act (NN Nos 65/18, 17/20 and 83/21).’

Article 2

In Article 73, points 1, 2, 3 and 4 are amended as follows:

- ‘1. shares and other securities equivalent to shares in the capital or shareholders’ rights in a company or other entity, as well as depositary receipts in respect of shares;
2. bonds and other forms of securitised debt, including depositary receipts in respect of such securities;
3. any other securities giving the right to acquire or sell any such transferable securities or giving rise to a cash settlement determined by reference to transferable securities, currencies, interest rates or yields, commodities or other indices or measures;
4. money market instruments such as treasury bills, central bank bills and commercial papers, certificates of deposit, and other types of instruments which are customarily traded on the money market, excluding instruments of payment,’

Article 3

In Article 132, the following paragraphs 3 and 4 are added after paragraph 2:

‘(3) The issuer shall notify the Exchange of each new issue of shares of the same class as those already admitted to trading on the Official Market, including in the notification any information required by the provisions of the CMA.

(4) The information included in the notification referred to in paragraph 3 of this article shall be published by the Exchange on its website.’

Article 4

In Article 215, paragraph 5 is deleted, and the existing paragraph 6 becomes paragraph 5.

Article 5

These amendments to the Rules shall enter into force on the 7th (seventh) day following the date of their approval by the Croatian Financial Services Supervisory Agency.