



ZAGREBAČKA  
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Zagreb Stock Exchange

Pursuant to the provisions of Article 295(1) of the Capital Market Act (Official Gazette NN Nos 88/08, 146/08, 74/09, 54/13, 159/13, 18/15, 110/15, and 123/16), at its 196<sup>th</sup> meeting held on 12 December 2017, the Management Board of the Zagreb Stock Exchange adopted the following

## AMENDMENTS TO THE PRICE LIST

### (UNOFFICIAL TEXT)<sup>1</sup>

#### Article 1

After Article 11 the following title and new Articles 11a through 11d shall be added:

#### **“Fees related to the Progress Market**

##### Article 11a

(1) The following fees are payable for admission of the financial instrument to trading on the Progress Market:

1. fee in respect of admission to trading application processing,
2. fee in respect of admission to trading and
3. fee in respect of admission to trading maintenance.

(2) The person liable for payment of the fees referred to in paragraph 1 of this article is the issuer.

##### Article 11b

(1) The fee in respect of processing an application for admission to trading on the Progress Market is HRK 500.00, payable at the time of application filing.

(2) If the application for admission to trading on the Progress Market is granted, the amount of the application processing fee will be deducted from the total fee amount set out in paragraph 3 of this article.

(3) The fee in respect of admission to trading on the Progress Market is HRK 11,000.00. It is payable for the first year following the day of admission to trading.

(4) The fee in respect of admission to trading maintenance on the Progress Market is HRK 6,000.00.

(5) The admission to trading maintenance fee on the Progress Market is payable for each year in advance, with the exception of the first year, and will become due for payment one year from the day of admission to trading. The first day of admission to trading will be the day on which the Exchange issues a decision on admission of a financial instrument to trading on the Progress market.

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<sup>1</sup> This english version of the Amendments to the Price List is unofficial text. In the case of conflict between the Croatian text of the Amendments to the Price List and the English one, the Croatian version prevails



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(6) If a financial instrument is excluded from trading before expiry of the period covered by the admission to trading maintenance fee, the Exchange will not refund the fee paid and any amount of fee due for the maintenance of admission to trading on the Progress Market shall be payable by the applicant.

#### Article 11c

(1) The following fees are payable for the grant of status of Progress Market Adviser:

1. fee in respect of application processing for the grant of Adviser status,
2. fee in respect of the grant of Adviser status and
3. fee in respect of Adviser status maintenance.

#### Article 11d

(1) The fee in respect of processing an application for the grant of status of Progress Market Adviser is HRK 500.00, payable at the time of application filing.

(2) If the application for the status of Progress Market Adviser is granted, the amount of the application processing fee will be deducted from the total fee amount set out in paragraph 3 of this article.

(3) The fee in respect of the grant of status of Progress Market Adviser is HRK 3,000.00. It is payable for the first year following the day of the grant of Adviser status.

(4) The fee in respect of the Progress Market Adviser status maintenance is HRK 2,000.00.

(5) The Progress Market Adviser status maintenance fee is payable for each year in advance, with the exception of the first year, and will become due for payment one year from the day of the Grant of adviser status.

(6) In the event of termination of Adviser status before the expiry of the period covered by the Progress Market Adviser status maintenance fee, the Exchange will not refund the fee paid and any amount of fee due shall be payable by the Adviser.”

#### Article 2

*(Article 2 not approved by Agency Decision, CLASS: UP/I 975-02/17-01/07, REF. NO.: 326-01-770-772-17-9)*

Article 19a shall be added after Article 19 as follows:

“Trade report publishing service fee

#### Article 19a

(1) The fee in respect of the service of trade report publishing on behalf of investment firms (post-trade disclosure), in accordance with Articles 20 and 21 of Regulation (EU) No 600/2014 of the European Parliament



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and of the Council of 15 May 2014 on markets in financial instruments and amending Regulation (EU) No 648/2012 is:

<b>Member firms</b>	EUR 200
<b>Other users</b>	EUR 250

(2) The fee referred to in paragraph 1 of this article is a monthly fee.”

### Article 3

(1) These Amendments to the Price List shall come into force on 2 January 2018.

(2) By way of derogation from paragraph 1 of this article, the first business day following the grant of approval of the Croatian Financial Services Supervisory Agency for trade report publishing on behalf of investment firms (post-trade disclosure), in accordance with Articles 20 and 21 of Regulation (EU) No 600/2014 of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Regulation (EU) No 648/2012, Article 19 of this Price List shall cease to have effect.

(3) By way of derogation from paragraph 1 of this article, Article 19a shall come into force on the first business day following the grant of approval of the Croatian Financial Services Supervisory Agency for trade report publishing on behalf of investment firms (post-trade disclosure), in accordance with Articles 20 and 21 of Regulation (EU) No 600/2014 of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Regulation (EU) No 648/2012.

(4) Any users which, upon concluding an agreement for the OTC service use, have selected the fixed billing model shall be entitled to the use of the service referred to in Article 19a of this Price List at no charge in respect of the fee referred to in Article 19a until the end of term of the agreement for the OTC service use.

(5) Any users who are no longer subject to reporting OTC transactions, or who cancel the service referred to in Article 19a of this Price List, shall be entitled to a refund of the proportionate share of the annual fee paid in accordance with Article 19 of the Price List.

Ivana Gažić

President of the Management Board

Tomislav Gračan

Member of the Management Board

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Privredna banka Zagreb d.d. IBAN: HR8623400091110306562 | Societe Generale-Splitska banka d.d. IBAN: HR3123300031152602712

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CHAIRMAN OF THE SUPERVISORY BOARD: Dubravko Štimac | PRESIDENT OF THE MANAGEMENT BOARD Ivana Gažić | MEMBER OF THE MANAGEMENT BOARD Tomislav Gračan

SHARE CAPITAL HRK 46,357,000.00 paid up in whole | 4,635,700 | HRK 10.00

